



## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|  |                      |                        |               |
|--|----------------------|------------------------|---------------|
|  | Application Number   | 10/015,951             |               |
|  | Filing Date          | December 17, 2001      |               |
|  | First Named Inventor | Mark J. STEFIK, et al. |               |
|  | Group Art Unit       | 3621                   |               |
|  | Examiner Name        | James A. Reagan        |               |
| Total Number of Pages in This Submission |                      | Attorney Docket Number | 111325-020700 |
|  |                      | Confirmation Number    | 5128          |

### ENCLOSURES (check all that apply)

|  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Declaration and Power of Attorney<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Application Data Sheet<br><input type="checkbox"/> Request for Corrected Filing Receipt with Enclosures<br><input type="checkbox"/> A self-addressed prepaid postcard for acknowledging receipt<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>1. Part B - Fee(s) Transmittal Form (Duplicate) |
| Remarks  |   | <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.   |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                               |  |
|-------------------------------|--|
| Firm<br>or<br>Individual name | Marc S. Kaufman<br>Registration No. 35,212<br><b>Nixon Peabody LLP</b><br>401 9 <sup>th</sup> Street, N.W., Suite 900<br>Washington, D.C. 20004-2128 |
| Signature                     |  |
| Date                          | 3-07-07  |

### CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

I hereby certify that this correspondence is being:

- ☐ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop \_\_\_\_\_, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450
- ☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) \_\_\_\_\_.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or printed name

# FEE TRANSMITTAL FOR FY 2007

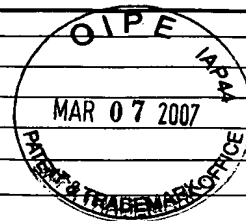
Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT **\$1,730.00**

Complete if Known

Application Number 10/015,951  
Filing Date December 17, 2001  
First Named Inventor Mark J. STEFIK, et al.  
Examiner Name James A. Reagan  
Art Unit 3621  
Attorney Docket No. 111325-020700  
Confirmation No. 5128



## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 19-2380

Deposit Account Name Nixon Peabody LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description        | Fee Paid |
|-----------------------|-----------------------|-----------------------|-----------------------|------------------------|----------|
| 1001                  | 300                   | 2001                  | 150                   | Utility filing fee     |          |
| 1002                  | 200                   | 2002                  | 100                   | Design filing fee      |          |
| 1003                  | 200                   | 2003                  | 100                   | Plant filing fee       |          |
| 1004                  | 300                   | 2004                  | 150                   | Reissue filing fee     |          |
| 1005                  | 200                   | 2005                  | 100                   | Provisional filing fee |          |

SUBTOTAL (1) (\$ 0)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| -20** =            | X            |                | 0        |
| Independent Claims | -3** =       | X              | 0        |
| Multiple Dependent | X            |                | 0        |

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description  |
|-----------------------|-----------------------|-----------------------|-----------------------|--|
| 1202                  | 50                    | 2202                  | 25                    | Claims in excess of 20                                     |
| 1201                  | 200                   | 2201                  | 100                   | Independent claims in excess of 3                          |
| 1203                  | 360                   | 2203                  | 180                   | Multiple dependent claim, if not paid                      |
| 1204                  | 200                   | 2204                  | 100                   | ** Reissue independent claims over original patent         |
| 1205                  | 50                    | 2205                  | 25                    | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code                  | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description  |
|--|-----------------------|-----------------------|-----------------------|--|
| 1051                                   | 130                   | 2051                  | 65                    | Surcharge - late filing fee or oath  |
| 1052                                   | 50                    | 2052                  | 25                    | Surcharge - late provisional filing fee or cover sheet                     |
| 1053                                   | 130                   | 1053                  | 130                   | Non-English specification  |
| 1812                                   | 2,520                 | 1812                  | 2,520                 | For filing a request for ex parte reexamination                            |
| 1804                                   | 920*                  | 1804                  | 920*                  | Requesting publication of SIR prior to Examiner action                     |
| 1805                                   | 1,840*                | 1805                  | 1,840*                | Requesting publication of SIR after Examiner action                        |
| 1251                                   | 120                   | 2251                  | 60                    | Extension for reply within first month                                     |
| 1252                                   | 450                   | 2252                  | 225                   | Extension for reply within second month                                    |
| 1253                                   | 1,020                 | 2253                  | 510                   | Extension for reply within third month                                     |
| 1254                                   | 1,590                 | 2254                  | 795                   | Extension for reply within fourth month                                    |
| 1255                                   | 2,160                 | 2255                  | 1,080                 | Extension for reply within fifth month                                     |
| 1401                                   | 500                   | 2401                  | 250                   | Notice of Appeal   |
| 1402                                   | 500                   | 2402                  | 250                   | Filing a brief in support of an appeal                                     |
| 1403                                   | 1,000                 | 2403                  | 500                   | Request for oral hearing   |
| 1451                                   | 1,510                 | 1451                  | 1,510                 | Petition to institute a public use proceeding                              |
| 1452                                   | 500                   | 2452                  | 250                   | Petition to revive - unavoidable   |
| 1453                                   | 1,500                 | 2453                  | 750                   | Petition to revive - unintentional   |
| 1501                                   | 1,400                 | 2501                  | 700                   | Utility issue fee (or reissue)   |
| 1502                                   | 800                   | 2502                  | 400                   | Design issue fee   |
| 1503                                   | 1,100                 | 2503                  | 550                   | Plant issue fee  |
| 1460                                   | 130                   | 1460                  | 130                   | Petitions to the Commissioner  |
| 1807                                   | 50                    | 1807                  | 50                    | Processing fee under 37 CFR 1.17(q)  |
| 1806                                   | 180                   | 1806                  | 180                   | Submission of Information Disclosure Stmt                                  |
| 8021                                   | 40                    | 8021                  | 40                    | Recording each patent assignment per property (times number of properties) |
| 1809                                   | 790                   | 2809                  | 395                   | Filing a submission after final rejection (37 CFR 1.129(a))                |
| 1810                                   | 790                   | 2810                  | 395                   | For each additional invention to be examined (37 CFR 1.129(b))             |
| 1801                                   | 790                   | 2801                  | 395                   | Request for Continued Examination (RCE)                                    |
| 1802                                   | 900                   | 1802                  | 900                   | Request for expedited examination of a design application                  |
| Other fee (specify): 10 Advance Copies |                       |                       |                       | 30.00  |
| Publication Fee                        |                       |                       |                       | 300.00   |
| *Reduced by Basic Filing Fee Paid      |                       |                       |                       |  |
| SUBTOTAL (3)                           |                       |                       |                       | \$1,730.00   |

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- ☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) \_\_\_\_\_

Date

Signature

Typed or printed name

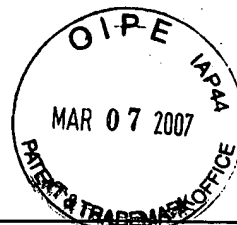
## SUBMITTED BY

Name (Print/Type) Marc S. Kaufman  
Signature   
Registration No. (Attorney/Agent) 35,212  
Telephone (202) 585-8000  
Date 3-07-07

SEND TO: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## PART, F - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
**BEST AVAILABLE COPY**  
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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

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Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

22204 7590 01/24/2007  
 NIXON PEABODY, LLP  
 401 9TH STREET, NW  
 SUITE 900  
 WASHINGTON, DC 20004-2128

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|                    |
|--------------------|
| (Depositor's name) |
| (Signature)        |
| (Date)             |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/015,951      | 12/17/2001  | Mark J. Stefik       | 111325-88           | 5128             |

TITLE OF INVENTION: DIGITAL WORKS HAVING USAGE RIGHTS AND METHOD FOR CREATING THE SAME

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1400        | \$300               | \$0                  | \$1700           | 04/24/2007 |

| EXAMINER        | ART UNIT | CLASS-SUBCLASS |
|-----------------|----------|----------------|
| REAGAN, JAMES A | 3621     | 705-050000     |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

03/08/2007 NAME: 00000003 192380 10015951  
 1 Marc S. Kaufman  
 2 Case, Esq. Villamar  
 3 Nixon Peabody, LLP

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

ContentGuard Holdings, Inc.

Wilmington, Delaware

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☒ Advance Order - # of Copies 10

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above).

- ☐ A check is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 19-2380 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date

Typed or printed name

Registration No.

Marc S. Kaufman

35,212

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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